



NCR CORPORATION
Law Department, IP Section
1700 S. Patterson Blvd.
Dayton, Ohio 45479-0001

| | |
|--|---|
| To: <u>Linda Wilson</u> | From: Karen Church |
| Company: <u>U.S. Patent Office</u> | Telephone: (937) 445-2963 |
| FAX Number: <u>703-305-8755</u> | FAX Number: (937) 445-6794 |
| Pages Sent: <u>2</u> (includes this page) | Date: <u>July 18, 2006</u> |
| | Time Sent: _____ (for completion after transmission) |

COMMENTS

Re: Serial No. 09/245,592
NCR Docket 8321

Ms. Wilson:

Per our telephone conversation, attached is the jacket of our file. We do not know what the 10/19/2001 Missing Incoming Letter was as our file does not contain any correspondence for that date. We do not have the 9/25/2003 Change of Address form in our file. That is what would have been submitted because the prior correspondence did not contain Mr. Martin's Customer Number. The U.S. Patent Office sent a confirmation of a Change of Address/Power of Attorney form dated 12/15/2004 as noted in PAIR.

Sincerely,

Karen Church

Karen Church
Secretary to Paul W. Martin
Senior Attorney

!!! NOTICE !!!

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Complete and send this form, together with applicable fee(s), to: **Mail**

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

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26884 7590 04/08/2005

PAUL W. MARTIN
 LAW DEPARTMENT, WHQ-4
 1700 S. PATTERSON BLVD.
 DAYTON, OH 45479-0001

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

| | |
|------------------------|--------------------|
| Karen A. Church | (Depositor's name) |
| <i>Karen A. Church</i> | (Signature) |
| May 10, 2005 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/245,592 | 02/08/1999 | JOHN C. ADDY | 8321 | 5059 |

TITLE OF INVENTION: METHOD AND APPARATUS FOR OPERATING A CONFIGURABLE REMOTE SUPERVISOR TERMINAL OF A SELF-SERVICE RETAIL CHECKOUT SYSTEM

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$0 | \$1400 | 07/08/2005 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|---------------------|----------|----------------|
| WASYLCHAK, STEVEN R | 3624 | 705-021000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Maginot, Moore & Beck LLP

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

NCR Corporation

Dayton, Ohio

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 14-0225 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Paul W. Martin*

Date May 10, 2005

Typed or printed name Paul W. Martin

Registration No. 34870

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Fax Information

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Total Pages:

1 (including cover page)

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Received
Cover
Page

=====>

05/10/2005 11:16 FAX 937 445 6794 NCR CORPORATION *** USPTO INQUIRY FEE 001/001

PART B - FEE(S) TRANSMITTAL

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25884 7590 04/08/2005

PAUL W. MARTIN
LAW DEPARTMENT, WHQ-4
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Certificate of Mailing or Transmission
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Karen A. Church (Typed Name)
Karen A. Church (Signature)
May 10, 2005 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/240,592 | 02/03/1999 | JOHN C. ADDY | 8321 | 5059 |

TITLE OF INVENTION: METHOD AND APPARATUS FOR OPERATING A CONFIGURABLE REMOTE SUPERVISOR TERMINAL OF A SELF-SERVICE RETAIL CHECKOUT SYSTEM

| APPL. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1,400 | \$0 | \$1,400 | 07/08/2005 |

| EXAMINER | ART UNIT | CLASSIFICATION |
|----------------------|----------|----------------|
| WASYLCHAK, STEVEN R. | 3624 | 705-021000 |

1. Change of correspondence address or indication of "New Address" (37 CFR 1.63).
☐ Change of correspondence address (or Change of Correspondence Address from PTO/SB/124) attached.
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2. For printing on the patent front page, list
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 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If the name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THIS PATENT (print or type)
PLEASE NOTE: Unless an assignee is identified below, an assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 1.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE: **NCR Corporation**
 (B) RESIDENCE: (CITY AND STATE OR COUNTRY): **Dayton, Ohio**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:
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5. Change in Entity Status (from status indicated above)
☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(c)(2).

The Director of the USPTO is requested to reply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature: **Paul W. Martin** Date: **May 10, 2005**
 Typed or printed name: **Paul W. Martin** Registration No.: **34870**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 17 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PTOL-85 (Rev. 12/04) Approved for use through 04/30/2007. OMD 0651-0003 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

PAGE 1/1 RCVD AT 5/10/2005 9:12:47 AM [Eastern Daylight Time] SVR:USPTO-EPXFP-20 * DNS:7444000 * CSO:937 445 6794 * DURATION (mm:ss):01:38

8321

Serial No. 09/245,592 Group _____ Docket No. 98008321.00

APPLICATION FOR LETTERS PATENT

(1001-0590)

Country U.S.A

F.F. = NONE

INVENTOR JOHN ADDY & SCOTT BARNHARTDISCLOSURE TITLE CONFIGURABLE REMOTE APPROVAL STATION FOR A SELF-

CHECKOUT TERMINAL

OFFICIAL TITLE METHOD & APPARATUS FOR OPERATING A CONFIGURABLE REMOTE
SUPERVISOR TERMINAL OF A SELF-SERVICE RETAIL CHECKOUT SYSTEM

Executed _____

FILED FEB. 8, 1999Assignment NCR CORP.Dated FEB. 5, 1999 Recorded Feb 8, 1999 Reel 9755 Frame 079-82

Assignment _____

Dated _____ Recorded _____ Reel _____ Frame _____

ACTIONS

| | |
|--------------------------------------|-----------------------------------|
| 3-1-99 - No IDS | 10-2-02 - Notice of Appeal |
| 2-24-99 - For. Filing Lic. | 12-2-02 - Appeal Brief |
| 5-17-99 - IDS | 4-8-05 - Allowance / Allowability |
| 12-6-99 - Formal Drawings Trans. | 5-10-05 - Paid Final Fee |
| 9-13-00 - Rej. | |
| (A) 2-12-01 - Amdt. & Two Month Eft. | |
| 5-22-01 - Final Rej. | |
| 8-16-01 - Notice of Appeal | |
| 10-16-01 - Appeal Brief | |
| 1-3-02 - Rej. | |
| 4-3-02 - Response | |
| 7-5-02 - Final Rej. | |

ALLOWED APR. 8, 2005Final Fee Due JULY 8, 2005Final Fee Paid MAY 10, 2005

Patent to Issue _____

PATENT ISSUED _____

NUMBER _____

Law Department, NCR Corporation, Dayton, Ohio

PAUL MARTIN, D. FOOTE, J. STOVER, M. CHAN,
C. STUKENBERG + PAUL MAGINOT

(PAUL J. MAGINOT)

Attorneys of Record

Associate Attorney

SERIAL NO.

INVENTOR

8321.00-USA